

**THE UNIVERSITY OF HONG KONG  
FACULTY OF EDUCATION  
Faculty Higher Degrees Committee**

**Procedure for Transfer of Candidature from MPhil to PhD**

- (a) An application in writing, together with at least 3 thesis chapters or equivalent, should be submitted to the Advisory/Supervisory Panel and the Chairperson of FHDC at least 6 months before the expiry of the MPhil candidature.
- (b) The candidate is required to conduct an oral presentation in relation to the research plan at an upgrade seminar at least 4 months before the expiry of candidature. The panel will make a recommendation on transfer of candidature to the FHDC. Such transfer, if approved, shall be effected at least 3 months prior to the expiry of the MPhil candidature.
- (c) For upgrade purpose, MPhil candidates must satisfactorily complete all the coursework requirements.
- (d) If the candidate wishes to seek upgrading at the time of confirmation, the review for confirmation and upgrading may be conducted at the same time.

The recommendation form for transfer of candidature is in Appendix I.

Office of Research  
7 June 2011

**THE UNIVERSITY OF HONG KONG  
FACULTY OF EDUCATION**

**Advisory/Supervisory Panel's Recommendation for Transfer of Candidature**  
(Request for transfer should be made 6 months before expiry of candidature)

**Name of Candidate:** \_\_\_\_\_

**Period of Study:** \_\_\_\_\_

- A. List of written materials that have been submitted (For example, the equivalent of 3 chapters, results of pilot study, conference papers based on research)
- B. Progress of the candidate (For example, whether all coursework has been passed)
- C. What is distinctive about the written materials submitted?
- D. Oral Presentation requirements:
1. Was the candidate able to articulate and clarify the research questions or hypotheses?
  2. Was the candidate able to identify the literature which this study supports, rejects, or could modify?
  3. Has the candidate developed a viable research design and demonstrated the capacity to complete a research degree satisfactorily?
  4. In the presentation and responses to questions, did the candidate show a clear grasp of:
    - the major focus or contribution of the research?
    - the strengths, weaknesses, and limitations of the research?

5. Was the candidate able to understand and provide relevant responses to the questions raised?

E. On the evidence of written material supplied and oral presentation by the candidate as detailed above, does the candidate perform at a level appropriate for PhD study in the following areas (briefly note reasons):

1. Theoretical Understanding
2. Knowledge of relevant studies conducted in this area
3. Understanding of the contribution to theory of this study
4. Ability to interpret data
5. Ability to construct an academic argument

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Recommendation to the FHDC<sup>1</sup>:

PhD

MPhil

Signature: \_\_\_\_\_

Convenor's Name: \_\_\_\_\_

Date: \_\_\_\_\_

Updated: 6/2011

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<sup>1</sup> *If panel members are unable to agree on a recommendation, please provide written comments to the FHDC.*